Non-invasive in-blood glucose sensing

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11 Abstract

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12 Non-invasive glucose monitoring (NIGM) is increasingly considered as an alternative to finger pricking 13 for blood glucose assessment and management of diabetes in insulin-dependent patients, due to the 14 pain, risk of infection, and inadequacy of finger pricking for frequent measurements. Nevertheless, 15 current NIGM techniques do not measure glucose in blood, but rely on indirect bulk measurement of 16 glucose in the interstitial fluid, where glucose is less concentrated, diluted in a generally unknown 17 volume, and appears in a delayed fashion relative to blood glucose, impairing NIGM accuracy. We 18 introduce a new biosensor, termed Depth-gated mid-InfraRed Optoacoustic Sensor (DIROS), which 19 offers for the first time non-invasive glucose detection directly in blood, while simultaneously rejecting 20 contributions from the metabolically inactive stratum corneum and other superficial skin layers. This 21 unique ability is achieved by time-gating mid-infrared optoacoustic signals to enable glucose readings 22 from depth-selective localization in the microvasculature of the skin. In measurements of mice in vivo, 23 DIROS revealed marked accuracy improvement over conventional bulk-tissue glucose measurements. 24 We showcase how skin rejection and signal localization are essential for improving the NIGM accuracy, 25 and discuss key results and how DIROS offers a holistic approach to address limitations of current 26 NIGM methods, with high translation potential.

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28 Key words

29 Non-invasive glucose-in-blood monitoring, image-guided biosensor, depth-selective bio-sensing.

30 Introduction

31 Blood glucose monitoring remains the main management strategy for prevention of diabetes-32 related complications. Until recently, glucose measurements relied on electrochemical sensing that 33 required blood extraction via finger pricking, a procedure that is painful, damages tissue, and can cause 34 infection. Intracutaneously implanted electrochemical biosensors integrated on flexible wearable patches have been proposed¹⁻⁴ for minimally invasive glucose monitoring in interstitial fluid or sweat. 35 36 These methods allow for frequent glucose sampling that improves diabetes management.⁵ However, 37 measurements using implanted biosensors only assess glucose diluted in the interstitial fluid (ISF) upon 38 diffusion from blood capillaries, which decreases the accuracy of readouts^{6–8}. In particular, ISF glucose 39 appears in a delayed fashion and at much lower concentrations (up to 10x) compared to clinically-40 relevant blood glucose. Moreover, glucose concentrations in ISF depend on ISF volume and 41 biochemical environment. Therefore they may be affected by the levels of hydration or pH values. For 42 those reasons, measurements based on implanted electrodes require frequent re-calibration of the 43 implanted sensor using finger prick measurements. In addition, the invasiveness and need for frequent 44 replacement of the measuring electrode carries the risk for skin irritation and microbial infections.

Non-invasive glucose monitoring (NIGM) is heralded as the next frontier in diabetes management, to minimize risk of infections and re-calibration needs over implantable biosensors, while improving the accuracy of blood glucose measurements⁹⁻¹¹. Beyond improving diabetes management, NIGM could become a pivotal technology for prevention or early detection of diabetes in high-risk populations and as part of a wellness society in need of informed biomedical readings for achieving a healthy lifestyle.

51 The need for NIGM is underscored by the wealth of methods considered for its implementation. 52 Terahertz (THz) spectroscopy uses the absorption spectrum of glucose (0.1 - 2.5 THz) to assess its 53 concentration in human skin^{1-4,12}; however, similarly to implanted biosensors, it is limited to bulk 54 glucose measurements. Moreover terahertz spectroscopy operates with low signal-to-noise ratios, broad 55 absorption bands, and overlapping spectra of glucose with other biomolecules, i.e. parameters that 56 challenge the sensitivity and specificity requirements of glucose monitoring in tissues¹³. With a broader track record, different optical methods have also been considered for NIGM over the past decades^{3,13-} 57 58 ¹⁵. Raman spectroscopy achieves biomolecular specificity¹⁶ by resolving specific vibrational spectral signatures of glucose at the fingerprint region of carbohydrates (1300-900 cm⁻¹)¹⁷⁻¹⁸. Nevertheless, the 59 60 method notoriously suffers from the weak Raman scattering cross-sections of biomolecules, which 61 reduce the detection sensitivity. Based on light absorption rather than scattering, mid-infrared (mid-IR) 62 spectroscopy using optical, optoacoustic (photoacoustic), or photothermal detection has been considered to improve sensitivity at spectral ranges similar to those used in Raman spectroscopy¹⁹⁻²⁴. 63 64 However, conventional mid-IR spectroscopy suffers from difficulties in operating in reflection mode 65 for detection and reproducibility issues associated with measurement contamination from non-glucose-66 specific absorption of light at superficial skin layers, further rendering calibration methods ineffective⁸.

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67 Critically, as transdermal methods, both Raman and mid-IR optoacoustic spectroscopy perform bulk
68 measurements of glucose in interstitial fluid. Consequently, none of these methods have offered so far
69 a viable alternative to implanted or invasive biosensors for continuous glucose sensing²⁵⁻²⁶.

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We present herein a non-invasive method for *in-blood* glucose sensing, to address the limitations of previous methods that operate with bulk glucose measurements in ISF. Termed Depthgated mid-IR Optoacoustic Sensing (DIROS) the method operates by time-gating optoacoustic signals generated by mid-IR excitation.²⁷ We hypothesized that depth-gating would significantly improve the sensitivity and accuracy of glucose sensing based on two key premises:

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1 It would enable the rejection of contributions from the metabolically inactive stratum corneum and overall from the epidermis, since changes in skin humidity, superficial lipids and other molecules are known to contaminate glucose measurements and challenge their reliability and reproducibility.²⁵⁻²⁶

2 It would allow the detection of signals from volumes rich in microvasculature, i.e. blood-filled volumes. The concentration of glucose in blood is more clinically relevant and, advantageously, much higher than in ISF. In-blood sensing also reports glucose fluctuations in real time, contrary to measurements of ISF glucose that appear in a delayed manner.

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87 Of critical importance in proving these two hypotheses was the depth that could be reached by mid-IR 88 excitation using optoacoustic detection, so that rejection of signals from the epidermis is feasible and 89 subcutaneous microvasculature rich volumes could be interrogated. For this reason, we rigorously 90 examined for the first time the depth achieved by mid-IR optoacoustics, in vivo. In particular, we 91 employed a broadband ultrasound detector (bandwidth ~ 6-36 MHz) to examine the depth achieved and 92 separate tissue layers, with an axial resolution of <25 microns. To further improve the accuracy of the 93 depth investigation, mid-IR measurements were contrasted to congruent microvasculature-sensitive 94 optoacoustic measurements at 532 nm illumination, the latter serving for validation of the depths and 95 structures probed. Then, using the merits of depth-selective optoacoustic detection, we explicitly 96 examined the effects of rejecting signals generated by the epidermis, validating the key hypotheses 97 stated above. In the following, we present the results of the interrogations, the DIROS glucose detection 98 performed in a depth-specific manner over bulk measurements and discuss how DIROS can operate by 99 accessing the capillary-rich layer of the human skin to offer an optimal solution toward non-invasive 100 glucose monitoring for improving diabetes management.

102 **Results**

103 DIROS was implemented using a common optical path for mid-IR and 532 nm illumination so 104 that mid-IR measurements could be referenced to vascular features that are easily detected in the visible. 105 The optical path (Fig. 1a) consisted of a pulsed mid-IR beam (20 ns pulse duration; 2941-909 cm⁻¹ / 106 3.4-11µm spectral range) and a co-aligned 532 nm pulsed beam (5 ns pulse duration); both beams were 107 focused to the surface of tissue (mouse ear) by a broadband reflective objective (see Methods for 108 details). Optoacoustic measurements were collected in vivo by a focused ultrasound transducer (central 109 frequency of 21.2 MHz with -6dB bandwidth of 72%). For simplicity, the transducer was placed on the 110 opposite side of the tissue measured, establishing a slab geometry. However since the optoacoustic 111 signal is emitted isotopically, operation on the same side of the tissue is also possible. For referencing 112 purposes, we raster scanned the sample under the sensor and generated merged mid-IR/VIS 113 optoacoustic image of tissue (Fig. 1c) for use as anatomical references (see Methods), in particular 114 images of microvasculature at the 532 nm. Depth selection was implemented by gating the time-115 dependent optoacoustic signal (Fig. 1d) to reject optoacoustic signals generated from the epidermis 116 (Fig. 1b, EP), thus minimizing the dependence of the measurement on contributions that do not directly 117 relate to glucose concentration. Optoacoustic signals were processed by the Hilbert transform, so that 118 the results relate to the energy of the signal measured.

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120 The first critical parameter investigated was the depth that can be reached in mid-IR 121 optoacoustics. We previously postulated that, compared to conventional mid-IR optical measurements, 122 mid-IR optoacoustic sensing can interrogate deeper in tissues since it employs ultrasound and not 123 optical detection, i.e. it operates under strong optical attenuation only on the incident but not the 124 collection path²⁷. To examine this postulation, we obtained three dimensional micro-vasculature maps 125 from 1x1 mm² scans from mouse ears *in vivo*, using 532 nm excitation (Fig. 1e-g). Maximum amplitude 126 projection (MAP) along the three dimensions allow to observe vascular rich volumes, as exemplified 127 in the images. Then, we plotted the signal profiles at 532 nm (Fig. 1h) and 9259 nm (Fig. 1i; 1080 cm⁻ 128 ¹) collected from a volume with strong vasculature (P1 position, see below) (see Fig. 1e-g), in order to 129 assess the penetration depth achieved by mid-IR measurements. The P1 position was selected for 130 demonstration purposes, since the capillary present at this position reached the deepest in the volume 131 examined, i.e. a depth of >200 micrometers. The 9259 nm wavelength (1080 cm⁻¹ wavenumber) was 132 selected for the mid-IR measurement as a representative wavelength for glucose sensing (see Fig. 4h). 133 The penetration depth was defined as the width of $1/e^2$ of a Gaussian curve fitted to the Hilbert transform 134 of the optoacoustic signal at 1080 cm⁻¹, as shown in Fig. 1i for a measurement that reached a depth of 135 \sim 150 μ m. The depths reached in all mice are summarized in **Suppl Fig. 1**, showcasing an average depth 136 of $\sim 140 \ \mu m$. Such depths are sufficient to measure the capillary-rich layer residing in the epidermal-137 dermal junction of human skin, not only for mouse measurements. In particular, our own measurements 138 of human skin using raster scan optoacoustic mesoscopy²⁸ (Suppl. Fig. 2) and independent

- 139 measurements using optical microscopy²⁹ confirm that capillary loops constitute a homogeneous rich-
- 140 capillary layer that resides at depths of ~60-80 microns at many sites on the human skin, and is not
- 141 affected by diabetes progression. DIROS would be then applied to measure from this layer, i.e. the
- 142 performance demonstrated herein in mice can be transferred to human measurements (see Discussion).



144 Figure 1: Label-free biomolecular imaging-depth capabilities of in vivo visible/mid-IR optoacoustic 145 microscopy. (a) Schematic diagram of the combined mid-IR/VIS in vivo optoacoustic microscopy 146 system for image-guided non-invasive glucose monitoring (ND: neutral density filter, L: lens, P: 147 pinhole). (b) Schematic representation of different layers of the mouse skin (EP: epidermis, DR: dermis, 148 HR: hypodermis, SC: stratum corneum, SGr: stratum granulosum, SS: stratum spinosum, SB: stratum 149 basale, SG: sebaceous glands, HF: hair follicles, BV: blood vessels), (c) Merged mid-IR/VIS 150 optoacoustic images, (d) raw optoacoustic signal corresponding to glucose wavenumber (1080 cm⁻¹), 151 (e) Representative xy-projected mouse ear image at 532 nm. (f) Maximum amplitude xz-projected 152 image with depth. (g) x-z cross section image, with d (150 µm) representing widths of 1/e² of the Hilbert transform of the optoacoustic transient at 1080 cm⁻¹, respectively. (h) Line profile of a blood vessel 153 cross-sectional image (at 532 nm), shown in blue in (f-g). (i) the depth profile of mid-IR with the width 154 155 of $1/e^2$ of the Hilbert transform of the optoacoustic transient at 1080 cm⁻¹, NOS = normalized 156 optoacoustic signal representing widths of 1/e² of Hilbert transform of the optoacoustic transient at 1080 157 cm⁻¹.

158 Having confirmed that the major premise for depth dependent detection from capillary rich 159 layers is feasible, we interrogated the glucose detection performance of DIROS, in relation to in-blood 160 vs. ISF measurements. To achieve this, we performed a glucose tolerance test study in ten mice, based 161 on a 20% glucose solution (2 g/kg of body weight) injected into the abdomen of each mouse. First, a 162 532 nm absorption map using $\sim 5 \,\mu m$ scanning steps was acquired for each mouse enrolled in the study, 163 to provide a morphological reference of the microvascular distribution in the area under the sensor and 164 to select locations to test in-blood vs. ISF-only measurements. To exemplify performance, we showcase 165 results obtained from the same volume (Fig. 2a) used for depth evaluation in Fig. 1. All DIROS scans 166 were performed at two distinct points on the 532 nm maps: a first point (P1) at an area with vasculature 167 presence and a second point (P2) at an area with poor vascularization, (i.e an area representative of 168 measurement in the ISF). Ten baseline spectra (1300 - 900 cm⁻¹) were recorded over a time period of 169 10 min prior to glucose administration and 90 spectra were recorded post-glucose administration over 170 150 min, continuously alternating the sensor over the positions P1 and P2. Each spectrum was generated 171 as follows: for each of the P1 and P2 locations and wavenumbers scanned, we acquired and added 1000 172 optoacoustic signals. Each point in the spectrum corresponds to the peak amplitude value of the Hilbert 173 transform of the averaged optoacoustic signal for the selected time gate. Each spectrum consists of 174 measurements at 100 wavenumbers acquired in the 1300 cm⁻¹ to 900 cm⁻¹ region with a spectral step 175 size of 4 cm⁻¹, requiring 1.5 min for acquisition. After one spectrum measurement was completed from 176 one of the two positions selected, the sensor was moved to the other position. For validation purposes, 177 0.6 µL of blood was obtained from each mouse every 3 min, during the time that a motorized stage 178 moved the sensor from P1 to P2. The blood sample was analyzed by a standard glucometer (see 179 **Methods**). Therefore, each spectrum from the P1 and P2 points corresponds to one reference glucose 180 measurement.

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182 To illustrate the nature of the spectra collected and understand whether the optoacoustic signals 183 respond to different glucose values, we plotted raw spectra (Fig. 2b) obtained from position P1 at 184 different time points, hence corresponding to different blood glucose concentration values (for color-185 coding see Suppl. Fig. 3b). Observation of the spectra showed that the intensity changed as a function 186 of glucose concentration, which was found to be linear, as elaborated in Fig.4. To illustrate the spectral 187 change as a function of glucose concentration, we subtracted one baseline spectrum, obtained prior to 188 the administration of glucose, from 4 spectra obtained post glucose administration (Fig. 2c): two 189 corresponding to the two lowest glucose concentrations (37 and 39 mg/dL) and two corresponding to 190 the highest concentrations (157 and 210 mg/dL) recorded by the glucometer. These four spectra show 191 that in all cases there is a clear difference in spectrum over baseline that is well above the noise level. 192 The change in intensity observed for the low glucose values is ~20% of the maximum change in 193 intensity observed in the data set collected, confirming sufficient signal-to-noise ratio for in vivo glucose

194 detection at physiological concentrations. A plot of all difference spectra in **Fig.2b** are shown in **Suppl**.

195 Fig. 3a.

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197 To quantitatively investigate the relation between spectral changes and blood glucose 198 concentration, beyond the observation of raw spectra, we employed a multivariate analysis (MVA) 199 method based on the partial least squares regression (PLSR) method. MVA is the typical approach for 200 computing analyte concentrations from spectroscopic glucose sensors³⁰ and it considers the structure 201 of the entire spectrum (100 variables) for computing a single glucose value, in the presence of other 202 contributors (metabolites) in tissue. Given a number of spectra (measurements) and ground truth 203 glucose values (obtained from the glucometer), the PLSR describes the spectral data as a linear 204 combination of a new set of spectral components (basis spectra), and identifies the subset of components 205 that is maximally informative of the glucose level. Then, it computes a glucose value based on the 206 particular combination of these spectral components that describes a given spectrum. We applied a 207 leave-one-out cross correlation, whereby each spectrum employed for a glucose measurement was 208 excluded once from the decomposition to basis spectra (see Methods) to determine features that 209 represent spectral variation.

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211 Using MVA analysis, we plotted the glucose values obtained from P1 and P2 versus the 212 glucometer values over the time course of a measurement (Fig. 2d). While both P1 and P2 tracked the 213 administration of glucose, it is clear that the data from location P1 more closely resembled the blood 214 glucose dynamics recorded by the glucometer. The curves also show a delayed appearance of glucose, 215 when measured at position P2, consistent with the fact that glucose changes in interstitial fluids, 216 represented herein by measurements at P2, appear in a delayed manner compared to the dynamics of 217 blood glucose, represented by measurements at P1. Time course control experiments injecting 218 phosphate buffer saline (PBS) were also performed in 3 mice. The results showed a minimum baseline 219 increase that was virtually constant throughout the time course of the measurement (Suppl. Fig. 4), 220 supporting that the signals in Fig. 2d are due to the glucose injection.

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222 To study the accuracy of the glucose measurement, in relation to the two measurement 223 locations, we plotted the Clarke Error Grid (CEG) analyses for locations P1 and P2, for the mouse 224 shown in Fig. 2a (Fig. 2e-g) and for the entire data set collected from all mice (Fig. 2h-j). The CEG is 225 divided into five regions (A-E), representing degrees of accuracy of glucose estimations. Values falling 226 into different zones have various levels of inaccuracy, with values within zone A being the most 227 accurate (within 20% of the reference measurement), while those in zones D and E represent erroneous 228 readings³¹. Visually, the results for P1 appear less scattered and better confined in the A area compared 229 to the measurements at P2. Correspondingly the root-mean square error cross-validation (RMSECV) 230 value between the measurements at P1 and P2 and the reference glucometer values were found to be 28 231 mg/dL vs. 42 mg/dL for the single mouse and 40 mg/dL vs. 47 mg/dL for the entire cohort.



233 Figure 2: Image-guided non-invasive glucose monitoring with in vivo MiROM. (a) Optoacoustic image 234 of a mouse ear at 532 nm, (b) the spectra over time at P1 (c) two spectra corresponding to the lower 235 glucometer value post glucose administration, i.e. at 37 and 39 mg/dL, and two spectra corresponding 236 to the highest values observed in the mouse measurement shown, i.e. at 157 and 210 mg/dL. (d) Time 237 profile of calculated glucose concentrations at P1 and P2 compared to reference blood glucose 238 measurements. (e-f) Clarke error grids showing the correlation between reference and optoacoustic 239 glucose values at P1 (e) and at P2 (f). (g) Tabulation of the distribution of results per region and root 240 mean square errors (RMSECV) by cross-validation for (e-f). (h-j) The Clarke error grids and tabulation 241 of zone distribution for all 10 mice measured in the study for positions P1 and P2.

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243 The glucose measurement results shown in Fig. 2 were obtained only by selecting areas with 244 and without vasculature but without depth selectivity, confirming the hypothesis that measurements 245 from blood-rich volumes are more accurate than measurements in ISF. The next critical step, and the 246 key point of the development of the DIROS sensor, was to examine whether depth-selection could 247 further improve the performance beyond the capabilities of current sensors. Time gating avoids bulk 248 measurements and can localize readings from blood-rich layers or volumes that lie under the epidermis. 249 Therefore, this approach avoids non-specific contributions from the epidermis and bulk ISF 250 measurements, offering measurements that can be labelled as in-blood. We note that while the work

herein is guided by images, it can be applied *in vivo* without imaging, as elaborated in the discussion, by targeting the epidermal-dermal junction layer that is rich is blood-filled capillaries across the skin in

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by targeting the epidermal-dermal junction layer that is rich is blood-filled capillaries across the skin in animals and humans (see **Suppl Fig. 2**).

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255 Glucose concentrations were computed with and without gate-selection at points P1 and P2. 256 Results (Fig. 3) are showcased using a different mouse than the one shown in Fig. 1-2 to additionally 257 illustrate the diversity seen in the collected vascular maps. Similar to the analysis in Fig. 2, we selected 258 two measurement locations: one with higher (P1) and one with lower (P2) microvascular density. 259 However, here we applied a time-gate algorithm (see Methods) that was optimized so that the OA 260 signal was sectioned to obtain spectra at time gates (depths) that minimized the error between the 261 DIROS measurements (i.e. the Hilbert transform of the optoacoustic signal) and the reference glucose 262 measurements. Different layers correlated differently to the measured glucose values, confirming that 263 DIROS performance varies with depth. A layer at a depth of 97.5 ± 20 micrometers gave an optimal 264 error minimization for all mice studied and was therefore selected as the gate for all mice and all 265 measurements. A first insight into the effects of time gating is seen in Fig 3b, which compares glucose 266 values at different gates, i.e. at different skin layers (depths), to the reference measurements and visually 267 shows that the selected time-gate provides the best match. It can be observed that superficial 268 measurements correspond to bulk measurements from the stratum corneum and top of the epidermis, 269 similar to the measurements performed by other sensors, and show the worse match to the glucometer 270 values, offering a first validation of the main DIROS hypothesis that depth selection can improve 271 accuracy. We computed the Pearson correlation coefficient between DIROS measurements and 272 glucometer values to quantify the match between the two techniques. We found a Pearson correlation 273 coefficient of R = 0.92 for measurements at a depth of 97.5 µm, but lower correlation coefficients of 274 R = 0.80 and R = 0.72 as the gate was moved toward the skin surface.

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276 To further validate the effect of depth selection, we plotted the Clarke Error Grid (CEG) with 277 and without gate selection (Fig. 3c-h) and show up to ~ 2-fold sensitivity improvement when using the 278 optimal gate (Fig. 3e). The results from the single mouse showcase that measurements from 279 microvascular-rich volumes with depth selectivity (Fig. 3d) yielded higher accuracy (88% of the points 280 in zone A) compared to measurements obtained without skin rejection (Fig. 3c; only 60% of the points 281 in zone A). When comparing the results from all mice, 79% of the measurement points fell in zone A 282 of the CEG for the P1 location using skin-rejection, whereas only 65% of the measurement points fell 283 in zone A without the time gate. Therefore, the most sensitive performance was achieved for 284 measurements obtained from the P1 position after applying a time gate. Overall, the RMSEs for the 285 entire cohort of mice improved from 47 mg/dL for bulk ISF measurements (P2; Fig. 3k) to 34 mg/dL 286 for measurements of blood-rich volumes with depth selection (P1; Fig. 3h).



290 Figure 3. Depth-selective non-invasive glucose monitoring with time-gated optoacoustic sensing. (a) 291 Optoacoustic micrographs at 532 nm (b) glucose time course of blood glucose variation at different 292 depths (37, 75, 97.5 µm) with reference glucose values. A Clarke error grids for a representative 293 experiment in a single mouse when measuring directly at P1: (c) without skin rejection (SR) and (d) 294 with SR. (e) Table comparing the distribution of results per region, and average root mean square error 295 of cross-validation (RMSECV) for P1 with and without SR. A Clarke error grid for 10 experiments 296 when measuring directly at P1: (f) without SR and (g) with SR. (h) Table comparing the distribution of 297 results per region, and average root mean square error of cross-validation (RMSECV) for SR and 298 without SR measurements for P1. A Clarke error grid for 10 experiments when measuring directly at 299 P2: (i) without SR and (j) with SR. (k) Table comparing the distribution of results per region, and 300 average root mean square error of cross-validation (RMSECV) for SR and without SR measurements 301 for P2.

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To better elucidate the differences in glucose measurements at different time gates (Fig. 4a),
 we plotted the spectra collected from a superficial layer (Fig. 4b @37µm) and a deeper layer (Fig. 4c
 @97.5 µm) from location P1 at different time points, i.e. different glucose concentrations. The spectra
 recorded from the deeper layer show increasing intensities as glucose concentrations increase (for color

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307 coding see Suppl. Fig. 3c). Furthermore, it is visually evident that the changes in the deeper layer are 308 more prominent than in the superficial layer. Moreover, while spectral changes due to glucose are 309 observable in the superficial layers, water contributes more to the spectral shape within the superficial 310 layers than in the deeper layers, where the spectra more closely resemble that of glucose (see Fig. 4h). 311

To study the linearity of the spectra, we plotted the area under the curve versus glucose concentration for the deep and superficial layers at position P1 (**Fig. 4d-e**) and for the deeper layer at position P2 (**Fig. 4f**). We observed approximate linear correlations at all locations; however, the best correlation (R=0.91) was obtained for the deeper layer at position P1, which is closer to vasculature and rejects contributions from the skin. Measurements at the more superficial layer at position P1 gave a correlation coefficient of R=0.61, whereas measurements from the deeper layer at the poorly vascularized position P2 exhibited the worse correlation (R=0.30).

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While these area plots are useful in understanding the energy signal of the entire measurement, we were also interested in investigating whether individual wavenumbers (wavelengths) would suffice for glucose prediction. Therefore, we plotted the intensities of four different wavenumbers corresponding to peaks in the glucose spectrum (**Fig. 4h**), obtained from the 97.5 μ m layer of P1, as a function of glucose concentration (**Fig. 4g**). Individual wavenumbers also showed good correlation with the measured glucose values with the peak at 994 cm⁻¹ demonstrating the highest correlation of R=0.92. bioRxiv preprint doi: https://doi.org/10.1101/2022.11.30.518508; this version posted December 2, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.



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328 Figure 4. Different time-gates measure different spectral compositions at different skin layers. (a) A 329 representation of different skin slices selected by time-gating the optoacoustic signals and 330 corresponding spectra for P1 at depths 37 μ m (b) and 97.5 μ m (c). Plot of the change of the area-under 331 the curve of the subtracted spectra as a function of reference glucose values determined by the 332 glucometer for the 97.5 μ m layer at P1 (d) the 37 μ m layer at P1 (e), and the 97.5 μ m layer at P2 (f). 333 Correlation of intensity changes as a function of reference glucose values determined by the glucometer 334 for different peaks in the spectrum collected, in particular corresponding to the 1109, 1080, 1036 and 994 cm⁻¹ wavenumbers. (h) Glucose spectrum measured by DIROS in water solution. 335

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337 Discussion

We have demonstrated position and depth-selective glucose sensing *in vivo* by combining visible optoacoustic microscopy with mid-IR optoacoustic microscopy/spectroscopy for direct glucosein-blood sensing. First, we demonstrated that mid-IR optoacoustic sensing can reach an average depth of ~140 micrometers, i.e. a depth capable of reaching skin layers rich in vasculature, in particular the epidermal – dermal junction, as illustrated in Suppl. **Fig. 2**. Then we interrogated whether detection in blood-rich volumes with and without skin rejection improves the sensitivity and detection accuracy. Measurements over time (**Fig. 2d**) and at different depths (**Fig. 3b**) consistently demonstrated the major 345 DIROS hypothesis; namely, that measurements in blood-vessel-rich volumes with skin detection 346 improve the performance over current sensing approaches based on bulk glucose measurement in ISF.

347 To the best of our knowledge, this is the first report of a sensor capable of in-blood non-invasive 348 glucose measurements. By rejecting skin contributions, DIROS is shown to improve upon one of the 349 major challenges of other optical sensors, i.e. the contamination of the measurement due to mid-IR 350 contributions from parameters in the skin epithelium, including sweat, levels of hydration or lipids. 351 Besides challenging absolute quantification, these parameters are known to contribute to a highly 352 heterogeneous skin appearance in the mid-IR, making optical NIGM measurements unreliable.²² 353 Therefore, DIROS improves upon previous NIGM technologies by combining the high glucose OA 354 signal generated by mid-IR absorption with minimization of other strong absorbers that challenge the 355 accuracy and repeatability of bulk measurements.²⁵⁻²⁶

A particular feature of the study herein has been the co-registered use of 532 nm optoacoustic measurements to produce reference frames of accurate microvascular characterization. Moreover, we opted for broadband ultrasound detection combined with focused illumination to allow for morphologic and metabolic investigations of skin heterogeneity and of the DIROS main hypotheses with high positional certainty afforded by the system's high spatial (6 micrometers) and axial (25 micrometers) resolution. The result is a sensor that offers to the best of our knowledge the most accurate in-blood non-invasive glucose detection today.

363 We presented both observations of raw and multivariate data analysis. Our aim was to show 364 that the sensitivity of DIROS suffices for detecting clear changes in the raw data due to glucose 365 variations, rather than indirect methods based on statistical observations as is typical in the literature. 366 Fig. 2b in particular shows clear spectral variations as a function of glucose concentration, 367 demonstrating for the first time in mid-IR glucose investigations in vivo that even individual points in 368 each spectrum can vary with glucose concentration in a linear fashion (Fig. 2d). This rather subtle point 369 is critical in understanding the measurements in the forward sense and not only as the output of MVA, 370 which generally acts as a "black box" in data analytics. Similar concerns were recently raised for Raman 371 spectra, with one investigation focusing on a similar demonstration to that shown here in Fig. 2b-c, i.e. 372 showing for the first time raw Raman spectra varying as a function of glucose values in vivo¹⁸. However, 373 a preliminary glimpse into the sensitivity differences between Raman and DIROS suggests that the 374 Raman spectra showed prominent spectral changes for glucose changes in the 256 - 456 mg/dL range, 375 whereas DIROS raw spectra herein demonstrated differences for glucose concentration changes as low 376 as 28 mg/dL.

We further demonstrated direct point-to-point comparison of DIROS measurements against the
 reference glucometer measurements, not only Clarke Error Plots, offering a more direct observation of
 results. In particular we observed (Fig. 2d) that in-blood measurements, i.e. measurements in capillary

rich volumes, offered a more precise time course of blood glucose variation than measurements in ISF, although the overall accuracy of measurements at ISF was significantly compromised compared to inblood measurements. Likewise, **Fig. 3b** provides insight into the potential contamination caused by skin heterogeneity since employing skin rejection when processing the measurement significantly lowered the error in the computed of glucose concentration compared to when skin was included. At the optimal gate of 97.5 micrometers, there is clearly a much closer point-to-point match between the DIROS and glucometer values, corroborating the main premise of our depth-selective interrogations.

387 Certain limitations exist in the study. The measurements are obtained from mice and not 388 humans, due to the unfortunate current situation in Germany in regard to regulation of experimental 389 arrangements, in particular in regard to what appears to be an erroneous interpretation of the new 390 Medical Device Regulation introduced by the European Union in May of 2021. While the EU Medical 391 Device Regulation is clearly aimed at commercial developments regarding the placement of medical 392 products in the market or in patient service, German authorities assume this regulation to also apply to 393 research investigations, significantly challenging translation activity. If the situation resolves in the 394 future, an immediate next step would be to repeat this study in humans. While mouse skin differs from 395 human skin, the depths reached herein offer a very promising outlook for reaching the epidermal-dermal 396 junction of human skin as well. Therefore we expect with very high probability these results to also be 397 confirmed in humans. A second challenge herein was that we did not monitor for sensor intensity 398 fluctuations; therefore our results also comprise background and system fluctuations. We partially 399 compensated for this instability by employing a high number of averages and collected spectral points. 400 We expect that in a second sensor generation, we can implement a reference arm to minimize signal 401 variation issues and reduce the number acquisition points needed collection time and further improving 402 the detection sensitivity.

DIROS could be extended beyond glucose measurements to other metabolites, such as lactate and lipids. This could allow, for instance, to develop a continuous metabolic sensing system to alert of deviations from healthy metabolic parameters. In summary, the method presented here is a powerful new tool for precise determination of clinically relevant blood-glucose levels that could pave the way for significant advances in diabetes management.

408

409 Methods

410 Combined Visible and Mid-infrared optoacoustic microscopy.

411 A pulsed quantum cascade laser (QCL) (MIRcat, Daylight Solutions), with a tuning range from 3.4 μm

412 to 11 μm, 20 ns duration, and a repetition rate of 100 kHz was used as the optoacoustic excitation source.

413 Additionally, a 3 ns laser beam at 532 nm (Cobalt) was integrated with a flip-mirror sharing the same

414 optical path of the QCL.(Fig. 1a) Both, visible and mid-infrared output laser beams were focused to the

415 sample by a 36X reflective objective. Optoacoustic signals from the sample were detected with an 416 ultrasonic transducer with a central frequency of 20 MHz. To evaluate the co-registration accuracy 417 between the two systems, we obtained carbon tape images at 532 nm, the wavelength employed to 418 enable visualization of hemoglobin-based contrast, and at three specific wavenumbers in the mid-IR 419 range corresponding to glucose, lipid, and protein detection in the skin (1085, 2850, 1587 cm⁻¹, 420 respectively; Suppl. Fig. 5a-c). Comparison of the line profiles through the image center along the x-421 axis and the y-axis (Suppl. Fig. 5d) showed excellent agreement between all images (Suppl. Fig. 5e-422 f). The merged visible and mid-IR optoacoustic image (Suppl. Fig. 5i) revealed slight differences in 423 the spatial localization between the two images, calculated by using 10 µm line profiles along the x-424 and y-axes (Suppl. Fig. 5j-k). This slight difference was taken as a reference when selecting the blood 425 vessels, and because the vessel diameter for selective localization of glucose monitoring was greater 426 than 10 µm, the selective localization was confined to the inside of the vessels.

427

428 Glucose tolerance tests and in vivo mid-infrared optoacoustic spectroscopy

429 For location-selective non-invasive glucose monitoring in vivo, we first used the visible laser integrated 430 into our MiROM system to localize vascular-rich regions, and the image of a mouse ear was acquired 431 at 532 nm. Images of mouse ear tissue were then acquired at 2850 cm⁻¹ with the MiROM system to 432 visualize skin heterogeneity (see Fig. 1c). The acquired signals at 532 nm and 2850 cm⁻¹ were averaged 433 over 50 consecutive signal cycles. Using these images, we selected two different locations (P1 and P2) 434 to test the correlation between MiROM spectral changes (in the range from 1300 cm⁻¹ to 900 cm⁻¹) and 435 blood glucose concentration. For this sake, glucose tolerance tests were performed in ten different mice 436 at the two selected locations (P1 and P2); 5 baseline spectra were simultaneously acquired over 10 437 minutes before glucose injection and 45 spectra were collected for 150 minutes after glucose injection. 438 For each in vivo mid-IR spectra, we measured a reference blood glucose value with a glucometer 439 (CONTOUR®) to correlate spectral changes and blood glucose concentration. For each glucose 440 tolerance test, a total of 50 blood glucose reference values and 50 in vivo MiROM spectra (per 441 measurement point) were obtained.

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- 443

444 Multivariate analysis

The collected spectra were constructed by taking the maximum intensity of the Hilbert transform applied to the retrieved mid-IR optoacoustic transients. Principal Component Analysis (PCA) was applied to the series of OA spectra collected for each glucose tolerance tests (i.e., 50 spectra per test) to determine their common features. Because the size of spectrum data was smaller than the parameter of independent variables at the wavenumber, a Partial Least Square Regression (PLSR) algorithm and cross-validation were used to calculate glucose concentration. The algorithm enabled the rotation of the 451 coordinate system of the data space and the generation of new components; namely, a latent variable.

- 452 The algorithm thus maximizes variance and correlation between the variables coming from the
- 453 measured spectrum data and reference glucose concentrations. A PLSR model was constructed after
- 454 preprocessing through meanscale, and a leave-one-out cross-validation was performed for each glucose
- 455 tolerance test (GTT) to obtain the root mean square error of cross-validation (RMSECV). For the PLSR
- 456 analysis, Matlab (Matlab 2019a, Mathworks, Inc. Natick, MA, USA) and PLS (PLS Toolbox 8.9.2,
- 457 Eigenvector Research Inc., Manson, Wash., USA) were employed.
- 458

459 Skin-sectioning depth-selective glucose sensing

460 To avoid anatomical structures in skin areas with low glucose content for more precise glucose-in-blood 461 detection, a specific time window of optoacoustic signals was used in order to interrogate deeper seated 462 vessels. The width of the time window (w) was selected to be 7.5 μ m in the range of the width of $1/e^2$ 463 of the Hilbert transform of the optoacoustic transient, representing the achievable depth at the 464 corresponding wavenumber for glucose detection of OA spectra at two locations (at P1 and P2). For 465 each w, the window was shifted in 7.5 µm steps, and for each position of the window, a spectrum was 466 generated for the GTT. The PLSR model was constructed for each spectrum acquired by time-gated 467 signals, and a leave-one-out cross-validation was performed for the spectral information corresponding 468 to certain depth layers. The root mean square error of cross-validation (RMSECV) between reference 469 and theglucose values in the specific window was calculated. This process of providing spectral 470 information along the skin depth was used as a skin-rejection window to calculate glucose 471 concentrations only from deeper seated vessels.

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474 Sample preparation and experimental protocol for in vivo glucose monitoring

All mouse experiments were performed according to the guidelines of the committee on Animal Health Care of Upper Bavaria, Germany. The mice were maintained in an individually ventilated cage system (Tecniplast, Germany) at 22 °C ambient temperature, a relative humidity of ~50% and a regular 12 hours day/night cycle, in our specific-pathogen-free (SPF) mouse facility at the Center for Translational Cancer Research of the Technical University of Munich (TranslaTUM).

480 Female Athymic nude-Foxn1^{nu} mice (Envigo, Germany) were selected for the glucose tolerance tests.

481 During all the measurements, the mice were anesthetized with 1,6% Isoflurane (cp-pharma, Germany)

- and 81 pm oxygen as carrier gas. The mouse heart rate, body temperature, and the SpO2 were controlled
- 483 by a monitoring device (Physio suite, Kent Scientific, Torrington, USA). The imaging of all the mice

484 was performed on the left ear.

485 After acquiring the baseline data, 2g/kg (body weight) glucose (Braun, 20% Glucose) was injected into 486 the mouse intraperitoneally. For reference glucose measurements, glucose in the blood was measured bioRxiv preprint doi: https://doi.org/10.1101/2022.11.30.518508; this version posted December 2, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

- 487 in parallel with glucometer measurements using test strips (Contour next, Ascensia Diabetes care
- 488 GmbH, Germany). The blood was extracted from the caudal vein, and the mice were sacrificed
- 489 immediately after imaging.
- 490
- 491

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501	Com	peting Interests			
502	V.N.	and M.A.P. are founders and equity owners of sThesis GmbH (i.gr.). V.N. is a founder and equity			
503	owne	r of iThera Medical GmbH, of Spear UG and of I3 Inc.			
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582Supplementary Figure 1: Comparative MiROM/VIS-OA depth analyses at 1080 cm⁻¹ and 532 nm583for ten experiments. (a-j) Line profiles of a blood vessel's x-z/y-z cross-section images at P1 for the58410 mice studied, with widths of $1/e^2$ of Hilbert transform of the optoacoustic transient at 1080 cm⁻¹, and,585the maximum intensity position of blood vessel in the depth direction, shown as magenta and red dotted586lines, respectively, (k) comparison the depth seated blood vessels and the depth that can be reached in587mid-IR optoacoustics sensor for 10 mice, NOAS = normalized optoacoustic signal.

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589

590 Supplementary Figure 2: Cross-sectional optoacoustic image from the human skin. The arrow 591 points to the epidermal-dermal junction, which is a superficial layer rich in micro-vasculature. Green 592 color marks the capillary loops in this layer. The yellow box indicates the depth scanned by DIROS, 593 according to the findings of Fig. 1. Image is reproduced From Aguirre J., et. al. Nature Biomedical 594 Engineering 2017.

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595

596 **Supplementary Figure 3:** Difference spectra generated as a subtraction of a baseline spectrum 597 (obtained prior to glucose administration) from 50 spectra obtained at different time-points post glucose 598 administration, and hence different glucose values – color-coded in (b). The curves show how bulk 599 measurements are biased toward surface-weighted spectra, especially at the lower glucose concentration 600 vs. depth-selected detection, shown in Fig.4.



602

603 Supplementary Figure 4 : Time course of glucometer and DIROS measurements after injection of

604 PBS in a mouse.

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607 Supplementary Figure 5: Co-registration accuracy test for the combined VIS-OA/MiROM 608 system. Micrograph of carbon tape at (a) 1085 cm⁻¹, (b) 2850 cm⁻¹, (c) 1587 cm⁻¹. (d) Merged image

of (a-c). Intensity line profile at the dashed line in (d) along the (e) x-axis, (f) y-axis. Micrograph of 610 carbon tape at (g) 2850 cm⁻¹ and (h) 532 nm. (i) Merged image of (g-h). Intensity line profiles at the

611 dashed line in (i) along the (j) x-axis and (k) y-axis.